

Application Serial No.	Filing Date	Status
		<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned

We hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

01 Nov '00

Date

Name:

James G. WHAYNE

Residence:

868 Del Avion Lane, San Jose, California 95138

Citizenship:

US

Post Office Address:

868 Del Avion Lane, San Jose, California 95138

11/1/00

Date

Name:

Deborah TOLOMEO

Residence:

6833 Dartmoor Way, San Jose, California 95129

Citizenship:

US

Post Office Address:

6833 Dartmoor Way, San Jose, California 95129

1 NOV 00

Date

Name:

Russell A. HOUSER

Residence:

1787 Verdine Street, Livermore, California 94550

Citizenship:

US

Post Office Address:

1787 Verdine Street, Livermore, California 94550

11/01/00

Date

Name:

Sidney D. FLEISCHMAN

Residence:

855 Woodland Avenue, Menlo Park, California 94025

Citizenship:

US

Post Office Address:

855 Woodland Avenue, Menlo Park, California 94025

RECEIVED "40250460

PATENT
Docket No. 441742001200

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

James G. WHAYNE et al.

Serial No.: To Be Assigned

Filing Date: Herewith

For: HEART SUPPORT TO PREVENT
VENTRICULAR REMODELING

Examiner: To Be Assigned

Group Art Unit: To Be Assigned

PROSECUTION BY ASSIGNEE AND POWER OF ATTORNEY
UNDER 37 C.F.R. § 3.71

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Converge Medical, Inc., the assignee of the entire right, title and interest in this patent application, under 37 C.F.R. § 3.71 hereby appoints:

Laurie A. Axford (Reg No. 35,053)
Joseph Barrera (Reg No. 44,522)
Shantanu Basu (Reg No. 43,318)
Frank P. Becking (Reg No. 42,309)
Jonathan Bockman (Reg No. 45,640)
Timothy J. Bortree (Reg No. 43,506)
Tyler S. Brown (Reg No. 36,465)
Mark R. Carter (Reg No. 39,131)
Peng Chen (Reg No. 43,543)
Thomas E. Ciotti (Reg No. 21,013)
Raj S. Davé (Reg No. 42,465)
Stephen C. Durant (Reg No. 31,506)
David L. Fehrman (Reg No. 28,600)
Debra J. Glaister (Reg No. 33,888)
Johny U. Han (Reg No. 45,565)
Peter Hsieh (Reg No. P-44,780)
Madeline I. Johnston (Reg No. 36,174)

Sanjay S. Bagade (Reg No. 42,280)
Erwin J. Basinski (Reg No. 34,773)
Richard R. Barr (Reg No. 43,485)
Vincent J. Belusko (Reg No. 30,820)
Kimberly A. Bolin (Reg No. 44,546)
Barry E. Bretschneider (Reg No. 28,055)
Nicholas Buffinger (Reg No. 39,124)
Robert K. Cerpa (Reg No. 39,933)
Thomas Chuang (Reg No. P-44,616)
Matthew M. D'Amore (Reg No. 42,457)
Peter Davis (Reg No. 36,119)
Carolyn A. Favorito (Reg No. 39,183)
Hector Gallegos (Reg No. 40,614)
Kenneth R. Glick (Reg No. 28,612)
Charles D. Holland (Reg No. 35,196)
Wayne Jaeschke, Jr. (Reg No. 38,503)
Richard D. Jordan (Reg No. 33,519)

Parisa Jorjani (Reg No. 41,897)
Catherine J. Kara (Reg No. 41,106)
Cameron A. King (Reg No. 41,897)
Rimas T. Lukas (Reg No. 46,451)
Gladys H. Monroy (Reg No. 32,430)
Kate H. Murashige (Reg No. 29,959)
Catherine M. Polizzi (Reg No. 40,130)
Paul J. Riley (Reg No. 38,596)
Debra A. Shetka (Reg No. 33,309)
Rebecca Shortle (Reg No. 47,083)
Stanley H. Thompson (Reg No. 45,160)
E. Thomas Wheelock (Reg No. 28,825)
Thomas G. Wiseman (Reg No. 35,046)
David T. Yang (Reg No. 44,415)
George C. Yu (Reg No. 44,418)

Ararat Kapouytian (Reg No. 40,044)
Richard C. Kim (Reg No. 40,046)
Kawai Lau (Reg No. 44,461)
Lisa E. Marks (Reg No. 44,901)
Philip A. Morin (Reg No. P-45,926)
Martin M. Noonan (Reg No. 44,264)
William C. Revelos (Reg No. 42,101)
Robert Saltzberg (Reg No. 36,910)
Terri Shieh-Newton (Reg No. 47,081)
Kevin R. Spivak (Reg No. 43,148)
Michael R. Ward (Reg No. 38,651)
Todd W. Wight (Reg No. 45,218)
Frank Wu (Reg No. 41,386)
Peter J. Yim (Reg No. 44,417)
Karen R. Zachow (Reg No. 46,332)

all of Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018, telephone (650) 813-5600, to prosecute this application and transact all matters in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorneys in accordance with the provisions of 37 C.F.R. § 3.71 provided that if any one of said attorneys or agents ceases being affiliated with the law firm of Morrison & Foerster as partner, employee or of counsel, such attorney's or agent's appointment as attorney or agent and all powers derived therefrom shall terminate on the date such attorney or agent ceases being so affiliated.

Please direct all written communications relative to this application to:

Johney U. Han
Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, California 94304-1018

Please direct all telephone communications to Johney U. Han at (650) 813-5786.

Converge Medical, Inc.
a Delaware corporation

Dated: November 2, 2000



Name: Russell A. Houser
Title: Chief Technical Officer
Address: 7026 Koll Center Parkway
Pleasanton, CA 94566

PTO/SB/96 (10-92)

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Docket No. 441742001200

In the application of: James G. WHAYNE et al.
Serial No.: To Be Assigned
Filed: Herewith
For: HEART SUPPORT TO PREVENT VENTRICULAR REMODELING

Converge Medical, Inc., a corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventors for the patent application identified above for which a copy from prior provisional patent application 60/231,075 is attached.

OR

B. ☐ A chain of title from the inventor(s) of the patent application identified above, to the current assignee as shown below:

1. From :
To:
The document was recorded in the Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached.

2. From :
To:
The document was recorded in the Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached.

3. From :
To:
The document was recorded in the Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Dated: 2 NOV 00


Name: Russell A. Houser
Title: Chief Technical Officer

PTO/SB/96 (10-92)

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE